

RISK / RESILIENCE MANAGEMENT- ASSURANCE REQUIRED ON:

APPENDIX B

Area	Concerns	Assurance	Evidence	Comment
Civil Contingencies	Are there up to date tried and tested plans in place to deal with: <ul style="list-style-type: none"> • Extreme weather e.g. Flooding, heavy snow etc • Traffic flow following accidents • Prevention of potential nuclear incidents • Major fires in the City • Major disease outbreak 	From Civil Contingency Unit Partners e.g. Fire, Police, Navy, Port Traffic and Transportation	Plans Tests Records Reports	
Business Continuity	Are there up to date tried and tested plans in place to deal with: <ul style="list-style-type: none"> • Loss of power to Civic Buildings • Flooding of Civic Buildings • Bomb Threats • System Failures e.g. heating, water • Vandalised Civic buildings not able to be used • Snow, flood or major accidents or transport strikes preventing staff getting to their place of work • Epidemics such as Norovirus; flu impacting staff resources • Communications loss • IS loss 	Facilities Management for buildings Services Business Continuity Plans	Plans Tests Records Reports	

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Vulnerabilities	Are there up to date tried and tested plans to deal with: <ul style="list-style-type: none"> • Legionella testing • Data Protection Act compliance • Hacking of IS systems 	Corporately Service	Policies, Strategies, Procedures, Tests Responsible Officer IT penetration testing Records Reports Access limitations	
Managing Performance of staff	<ul style="list-style-type: none"> • Professional capacity • Management capacity 	Services business plans	Agreed objectives Budget monitoring PDR's Training Policies Performance indicators One to ones	
Support to joined up transparent and clear decision making	<ul style="list-style-type: none"> • Processes in place to enable transparency and accountability of decision making 	City Constitution	Standing Orders Contract Procurement Rules, Financial Rules	
Statutory compliance	Compliance with <ul style="list-style-type: none"> • Health and Safety Laws 	Services Corporate	Policies Training Compliance testing	

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			Records Reports	
Government v local priorities	Impacts of Government priorities	Services Business Plans	Portfolio/ Cabinet / Head of Service/ Directors meetings Reports to Council from relevant HoS, S151, Monitoring	
Services	Have services identified any of the following risks to their Service provision: Financial risks Technical risks Political risks Legal risks Statutory risks	Services- Business Plans	Business Plan review by SD's Reports Meetings with Portfolio holders Risk Registers e.g. projects, legal, IT	

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